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Preprint typeset using L<sup>A</sup>T<sub>E</sub>X style emulatej v. 9/08/03CORRELATIONS BETWEEN CENTRAL MASSIVE OBJECTS AND THEIR HOST GALAXIES:  
FROM BULGELESS SPIRALS TO ELLIPTICALSYUEXING LI<sup>1</sup>, ZOLTÁN HAIMAN<sup>2</sup>, MORDECAI-MARK MAC LOW<sup>3</sup><sup>1</sup>Institute for Theory and Computation, Harvard-Smithsonian Center for Astrophysics, Harvard University, 60 Garden Street, Cambridge, MA 02138<sup>2</sup>Department of Astronomy, Columbia University, New York, NY 10027 and<sup>3</sup>Department of Astrophysics, American Museum of Natural History, 79th Street at Central Park West, New York, NY 10024*Draft version July 20, 2006*

## ABSTRACT

Recent observations by Ferrarese et al. (2006) and Wehner & Harris (2006) reveal that a majority of galaxies contain a central massive object (CMO), either a supermassive black hole (SMBH) or a compact stellar nucleus, regardless of the galaxy mass or morphological type, and that there is a tight relation between the masses of CMOs and those of the host galaxies,  $M_{\text{CMO}} \approx 0.002 M_{\text{gal}}$ . Several recent studies show that feedback from black holes can successfully explain the  $M_{\text{BH}}-\sigma$  correlation in massive elliptical galaxies that contain SMBHs. However, puzzles remain in spirals or dwarf spheroids that do not appear to have black holes but instead harbor a compact central stellar cluster. Here we use three-dimensional, smoothed particle hydrodynamics simulations of isolated galaxies to study the formation and evolution of CMOs in bulgeless disk galaxies, and simulations of merging galaxies to study the transition of the CMO–host mass relation from late-type bulgeless spirals to early-type ellipticals. In the simulations, absorbing sink particles represent either SMBHs or star clusters, while stellar feedback on the gas is implemented by assuming an isothermal equation of state with effective sound speed of order  $10 \text{ km s}^{-1}$ . Our simulations show that the mass of the CMO correlates with that of the host galaxy in both isolated bulgeless spirals and in ellipticals formed through mergers, and that  $M_{\text{CMO}}$  correlates with the global star-formation efficiency in the galaxy. We find that the final mass of the CMO is dominated by the accreted mass, rather than the initial fragment mass. The denser nuclei of more massive galaxies have higher mass accretion rates, and both the final accreted CMO mass and the recently formed stellar mass increase monotonically with the total mass of the galaxy. Our results suggest that the observed correlations may be established primarily by the depletion of gas in the central region by accretion and star-formation, and may hold for all galaxy types. A systematic search for CMOs in the nuclei of bulgeless disk galaxies would offer a test of this conclusion.

*Subject headings:* galaxy: nuclei — galaxy: spirals — galaxy: ellipticals — galaxy: evolution — galaxy: kinematics and dynamics — galaxy: ISM — galaxy: star clusters — stars: formation

## 1. INTRODUCTION

Supermassive black holes (SMBHs) appear to exist in most, if not all, galaxies (see, e.g., the reviews by Haiman & Quataert 2004 and Ferrarese & Ford 2005). Over the past few years, SMBHs have been detected in about 40 nearby galaxies using gas and stellar dynamical methods (e.g., Kormendy & Richstone 1995; Richstone et al. 1998; Ho 1999; Merritt & Ferrarese 2001). These galaxies include nearby ellipticals and lenticulars (e.g., Marconi et al. 1997; Macchetto et al. 1997), as well as spirals with bulges such as the Milky Way (Genzel et al. 1997; Ghez et al. 2000, 2003; Schödel et al. 2002). Most of these galaxies are luminous ones with total masses larger than  $10^{12} M_{\odot}$ . There appear to be tight correlations between the masses of the SMBHs,  $M_{\text{BH}}$ , and the global properties of the spheroid components of their hosts, such as their luminosities and masses (Magorrian et al. 1998; Marconi & Hunt 2003), light concentration (Graham et al. 2001), and velocity dispersions (Ferrarese & Merritt 2000; Gebhardt et al. 2000; Tremaine et al. 2002).

Recent observations by Ferrarese et al. (2006) and Wehner & Harris (2006) show that a majority of low- and intermediate-luminosity galaxies contain a compact stellar cluster at their center. It is striking that the masses of these star clusters also obey a tight correlation with those of their

host galaxies,  $M_{\text{CMO}} \approx 0.002 M_{\text{gal}}$ , agreeing with the relation between SMBH and galaxy masses seen in more luminous galaxies (Marconi & Hunt 2003). A correlation between the mass of nuclear star clusters and galaxy properties holds not only in elliptical galaxies, but also in spiral galaxies with bulges (Rossa et al. 2006). Nuclear star-clusters with masses in the range  $\sim 10^6 - 10^8 M_{\odot}$  have also been found in a handful of bulgeless spiral galaxies (Walcher et al. 2005). These findings strongly suggest that the SMBHs in massive galaxies and the compact stellar nuclei in less luminous galaxies may form as a result of similar physical processes, linked to the formation of the galaxy as a whole.

Many models have been proposed for the observed SMBH–bulge correlations, as reviewed by Robertson et al. (2006a), notably including self-regulation by global feedback, which suppresses further accretion and star formation (e.g., Silk & Rees 1998; Haehnelt et al. 1998; Fabian 1999; King 2003; Wyithe & Loeb 2003; Di Matteo et al. 2005; Springel et al. 2005b; Sazonov et al. 2005; Murray et al. 2005; Wyithe & Loeb 2005); as well as environmental regulation (e.g., Burkert & Silk 2001; MacMillan & Henriksen 2002; Adams et al. 2001; Balberg & Shapiro 2002; Miralda-Escudé & Kollmeier 2005; Begelman & Nath 2005); and star formation models in which gas dissipation affects the growth of the SMBHs (e.g., Archibald et al. 2002; Di Matteo et al. 2003; Kazantzidis et al. 2005). In particular, self-regulated models with SMBH feedback in the form of

thermal energy coupled to the ambient gas have been demonstrated to successfully reproduce many observed properties of elliptical galaxies formed by major mergers, including the  $M_{\text{BH}}-\sigma$  relation (Di Matteo et al. 2005; Robertson et al. 2006b), galaxy colors (Springel, Di Matteo, & Hernquist 2005a), X-ray gas emission (Cox et al. 2006b), quasar properties and luminosity functions (Hopkins et al. 2006a,b), as well as the luminous quasars observed at the highest redshift (Li et al. 2006a).

However, self-regulated models are applicable primarily to galaxies with SMBHs (i.e., massive elliptical galaxies). The new observations by Ferrarese et al. (2006) and Wehner & Harris (2006) show that many low- and intermediate-mass galaxies that do not appear to have SMBHs still have central compact stellar clusters that obey, to within observational errors, an indistinguishable mass correlation with their host galaxy. This suggests that galactic black holes and central compact stellar nuclei, hereafter collectively referred to as compact massive objects (CMOs), may have formed from the same processes — gravitational collapse of a massive clump of gas at the center of the galaxy. Furthermore, it suggests that the CMO–host mass correlation may be universal, regardless of galaxy type or mass.

In order to test this hypothesis, we start our investigation of CMOs with bulgeless spirals, which are the simplest galaxy models, and therefore ideal to study the physical process of CMO formation. Nuclear star clusters have been observed in bulgeless spirals by Böker et al. (2002, 2004) and Walcher et al. (2005, 2006). These authors find that the growth of the CMOs is closely linked to the star formation history of the host galaxy. We here examine the CMO–host mass correlation in simulations of isolated, bulgeless disk galaxies with a wide range of masses and gas fractions. We then further investigate how the CMO–host relation changes in simulations of mergers between spiral galaxies.

The rest of this paper is organized as follows. In § 2, we describe our computational methods, galaxy models and parameters. In § 3, we study the formation and evolution of CMOs in isolated, bulgeless, spiral galaxies. We then study the formation of CMOs in mergers between disk galaxies in § 4. We discuss the connections between CMOs and host galaxies in both spirals and ellipticals, and conclude in § 5.

## 2. COMPUTATIONAL METHOD

We use the publicly available, three-dimensional, parallel, N-body/smoothed particle hydrodynamics (SPH) code GADGET v1.1 (Springel et al. 2001), modified to include absorbing sink particles (Bate, Bonnell, & Price 1995) to directly measure the mass of gravitationally collapsing gas. Li, Mac Low, & Klessen (2005a) and Jappsen et al. (2005) give detailed descriptions of sink particle implementation and interpretation. Sink particles replace gravitationally bound regions of converging flow that reach number densities  $n > 10^3 \text{ cm}^{-3}$ . The sink particles have a control volume with a fixed radius of 50 pc from which they absorb surrounding bound gas. They interact gravitationally and inherit the mass, and linear and angular momentum of the gas out of which they form.

### 2.1. Galaxy Models

Our models of isolated, bulgeless spiral galaxies consist of a dark matter halo following the prescription of (Navarro, Frenk, & White 1997), and an initially exponential disk of stars and isothermal gas. The galaxy structure is

TABLE 1. SPIRAL GALAXY MODELS AND NUMERICAL PARAMETERS

Model <sup>a</sup>	$R_{200}$ <sup>b</sup>	$M_{200}$ <sup>c</sup>	$f_g$ <sup>d</sup>	$R_d$ <sup>e</sup>	$h_g$ <sup>f</sup>	$m_g$ <sup>g</sup>	LT <sup>h</sup>	HT <sup>i</sup>
SG50-2	71.43	4.15	0.5	1.41	10	0.21	Y	N
SG50-3	71.43	4.15	0.9	1.41	10	0.37	Y	N
SG50-4	71.43	4.15	0.9	1.07	10	0.75	Y	N
SG100-1	142.86	33.22	0.2	2.81	10	0.66	Y	N
SG100-2	142.86	33.22	0.5	2.81	10	1.65	Y	N
SG100-3	142.86	33.22	0.9	2.81	10	2.97	Y	Y
SG100-4	142.86	33.22	0.9	2.14	20	5.94	Y	Y
SG120-3	171.43	57.4	0.9	3.38	20	5.17	N	Y
SG120-4	171.43	57.4	0.9	2.57	30	10.3	N	Y
SG160-2	228.57	136.0	0.5	4.51	20	6.80	N	Y
SG160-3	228.57	136.0	0.9	4.51	30	12.2	N	Y
SG160-4	228.57	136.0	0.9	3.42	40	16.3	N	Y
SG220-1	314.29	353.7	0.2	6.20	20	7.07	Y	Y
SG220-2	314.29	353.7	0.5	6.20	30	14.8	N	Y
SG220-3	314.29	353.7	0.9	6.20	40	15.9	N	Y
SG220-4	314.29	353.7	0.9	4.71	40	16.0	N	Y

<sup>a</sup>Model of single disk galaxy. First number is rotational velocity in  $\text{km s}^{-1}$  at the virial radius, the second number indicates sub-model. Sub-models have varying fractions  $m_d$  of total halo mass in their disks, and given values of  $f_g$ . Sub-models 1–3 have  $m_d = 0.05$ , while sub-model 4 has  $m_d = 0.1$ .

<sup>b</sup>Virial radius in kpc within which the mean mass density of the halo is 200 times of the critical density.

<sup>c</sup>Virial mass of the galaxy in  $10^{10} M_\odot$ .

<sup>d</sup>Fraction of disk mass in gas.

<sup>e</sup>Radial disk scale length in kpc where stellar surface density drops by  $e^{-1}$ .

<sup>f</sup>Gravitational softening length of gas in pc.

<sup>g</sup>Gas particle mass in units of  $10^4 M_\odot$ .

<sup>h</sup>Model run with LT mode  $c_s = 6 \text{ km s}^{-1}$ .

<sup>i</sup>Model run with HT mode  $c_s = 15 \text{ km s}^{-1}$ .

TABLE 2. GALAXY MERGERS AND NUMERICAL PARAMETERS

Model <sup>a</sup>	$V_{200}(1)$ <sup>b</sup>	$M_{200}(1)$ <sup>c</sup>	$V_{200}(2)$ <sup>d</sup>	$M_{200}(2)$ <sup>e</sup>	$f_g$ <sup>f</sup>	$h_g$ <sup>g</sup>	$m_g$ <sup>h</sup>	$c_s$ <sup>i</sup>
MG1	100	33.22	100	33.22	0.2	10	0.66	6
MG2	100	33.22	100	33.22	0.9	10	2.97	6
MG3	160	136.0	202	272.0	0.1	30	16.0	10

<sup>a</sup>Model of galaxy mergers. Note all progenitors have disk mass fraction of  $m_d = 0.05$ .

<sup>b</sup>Rotational velocity in  $\text{km s}^{-1}$  at the virial radius for the first progenitor.

<sup>c</sup>Virial mass of the first progenitor in  $10^{10} M_\odot$ .

<sup>d</sup>Rotational velocity in  $\text{km s}^{-1}$  at the virial radius for the second progenitor.

<sup>e</sup>Virial mass of the second progenitor in  $10^{10} M_\odot$ .

<sup>f</sup>Gas fraction of the progenitors.

<sup>g</sup>Gravitational softening length of gas in pc.

<sup>h</sup>Gas particle mass in units of  $10^4 M_\odot$ .

<sup>i</sup>Sound speed for the gas in  $\text{km s}^{-1}$ .

based on the analytical work by Mo, Mao, & White (1998), as implemented numerically by Springel & White (1999) and Springel (2000). The detailed description of the models and numerical simulations are given in Li et al. (2005a). Table 1 lists the most important model properties and numerical parameters. In order to sufficiently resolve gravitational collapse, the models are set up to satisfy three numerical criteria: the Jeans resolution criterion (Bate & Burkert 1997; Whitworth 1998), the gravity-hydro balance criterion for gravitational softening (Bate & Burkert 1997), and the equipartition criterion for particle masses (Steinmetz & White 1997). We choose the particle number for each model such

that they not only satisfy the criteria, but also all runs have at least  $10^6$  total particles. The gas, halo and disk particles are distributed with number ratio  $N_g : N_h : N_d = 5 : 3 : 2$ . The gravitational softening lengths of the halo  $h_h = 0.4$  kpc and disk  $h_d = 0.1$  kpc, while that of the gas  $h_g$  varies with models. The minimum spatial and mass resolutions in the gas are given by  $h_g$  and twice the kernel mass ( $\sim 80m_g$ ). We adopt typical values for the halo concentration parameter  $c = 5$ , spin parameter  $\lambda = 0.05$ , and Hubble constant  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$  (Springel 2000). To test the effects of feedback, we have applied two sets of isothermal sound speeds,  $c_s = 6 \text{ km s}^{-1}$  (LT) and  $c_s = 15 \text{ km s}^{-1}$  (HT), corresponding to low- and high-temperature modes, respectively. All of the models have been simulated in the HT mode, but only a limited number of runs have been performed in LT mode, because satisfying the three numerical criteria mentioned above is computationally expensive. The model properties and numerical parameters are summarized in Table 1.

The same set of simulations of isolated galaxies has successfully reproduced many observations of star formation in disk galaxies, including distributions and morphologies (Li et al. 2005a), and both global and local Schmidt laws (Li, Mac Low, & Klessen 2006b), demonstrating that star formation in galaxies are controlled by gravitational instability (Li, Mac Low, & Klessen 2005b). In this paper, we focus on the connection between the CMO and the host galaxy.

In order to investigate CMOs in elliptical galaxies, we have also done three representative models of mergers of spiral galaxies, both with and without bulges, that result in ellipticals. These mergers vary in progenitor masses, mass ratios, gas fractions and configurations. Model MG1 resembles the merger of Milky Way and Andromeda, in which the progenitor properties and orbital parameters are set up following Dubinski, Mihos, & Hernquist (1996) and Springel (2000). The mass ratio of the progenitors is roughly 1:2, and each progenitor has a bulge with mass 25% of the disk mass, but neither progenitor initially has a CMO. The two galaxies are on a parabolic orbit, with an initial separation of 700 kpc, and a pericentric distance of 5 kpc. Models MG2 and MG3 are equal mass, head-on mergers of identical bulgeless progenitors using models G100-1 and G100-3, respectively. A parabolic orbit with an initial separation of 300 kpc and a pericentric distance of 1 kpc are used in both cases. Each of the mergers is run with particle number around  $N_{\text{tot}} \approx 2 \times 10^6$ . The model properties and numerical parameters of the merger runs are listed in Table 2.

## 2.2. Interpretation of Sink Particles as Clusters and Central Massive Objects

The absorbing sink particles in our models trace regions with pressure of  $P/k \sim 10^7 \text{ K cm}^{-3}$ , and reach masses of  $> 10^6 M_\odot$ , typical of star-forming proto-stellar clusters (Elmegreen & Efremov 1997). We therefore interpret the formation of sink particles in general as representing the formation of massive stellar clusters. We find in the simulations, however — both in the case of isolated galaxies and for galaxy mergers — that the most massive sink particle always resides in the center of the isolated disk (Li et al. 2005a) or the merger remnant (Li, Mac Low, & Klessen 2004). It either forms there, or migrates to the center quickly after formation due to dynamical friction. We therefore distinguish this sink particle from the others, and identify it as a CMO.

To quantify star formation, we assume that individual sinks represent dense molecular clouds that form stars at some effi-

ciency. Observations by Rownd & Young (1999) suggest that the *local* star formation efficiency (SFE) in molecular clouds remains roughly constant. Kennicutt (1998) found SFE of 30% for starburst galaxies that Wong & Blitz (2002) showed are dominated by molecular gas. We therefore adopt a fixed local SFE of 30% to convert the mass of sinks to stars, while making the simple approximation that the remaining 70% of the sink particle mass remains in gas form. This conversion rate was shown to reproduce both the global and local Schmidt laws observed in nearby galaxies (Li et al. 2006b).

We assume, on the other hand, that the CMO inherits the total mass of the central sink particle, for the following reasons. (1) If the CMO forms a compact stellar cluster, then the star formation efficiency could be  $> 50\%$  due to extremely high pressure and density at the galactic center (Elmegreen & Efremov 1997), where the deep gravitational potential also helps to keep the gas bound the CMO. (2) If a fraction of the CMO collapses into a black hole, then it could rapidly accrete the remaining gas. (3) Although feedback-driven winds from either supernovae or a quasar could expel the gas from galactic nuclei, the effect may be minor. A study of galactic winds by Cox et al. (2006a) shows that the mass loss by a typical stellar wind (wind efficiency  $\sim 0.5$ , wind velocity  $\sim 500 \text{ km s}^{-1}$ ) is less than 10% of the initial total gas mass. The quasar outflow is comparable to this in galaxies with accreting black holes. Furthermore, most of the gas in the central region is consumed quickly by either star formation or black hole accretion, so the mass loss from the CMO by feedback is likely negligible (Cox et al. 2006b).

The final mass and fate of the CMO should depend on the galaxy potential and dynamical evolution. The larger the galaxy, the more massive its central clump, due to stronger gravitational instability (Li et al. 2005b). The gas clump may then form a supermassive black hole directly by rapid core collapse within a deep potential well, fragment to form a massive star cluster in a less-massive galaxy, or engage in cluster-cluster collisions to form a SMBH in galaxy mergers due to stellar dynamical processes (see, e.g., Rees 1984 and Haiman & Quataert 2004 for reviews of different routes to forming a SMBH). Furthermore, the  $M_{\text{CMO}}-M_{\text{gal}}$  relation may be established by the same physical mechanism that determines the fate of the clump — massive galaxies or galaxy mergers that have higher CMO mass tend to form stars at a higher rate than less massive ones. These considerations suggest that the  $M_{\text{CMO}}-M_{\text{gal}}$  relation is directly connected to the star formation efficiency of the galaxy.

In the simulations, we do not resolve the transition within the sink particle from a collapsed gas clump to a black hole or a star cluster, and consequently we will limit our discussion, and comparisons to observations, to CMOs in general.

## 3. CENTRAL MASSIVE OBJECTS IN BULGELESS SPIRAL GALAXIES

### 3.1. The Formation and Evolution of CMOs

In all of our simulations, high gas density regions collapse to form stars and clusters once the disk becomes gravitationally unstable. Sink particles first form in the central regions of galaxies; star formation subsequently propagates outwards. The collapsed objects continue to grow by gas accretion.

The growth histories of CMOs in several models are shown in Figure 1. The CMO initially collapses at a mass above the Jeans mass ( $M_J \sim 10^6 M_\odot$  and  $\sim 2 \times 10^7 M_\odot$  for  $c_s = 6 \text{ km s}^{-1}$  and  $15 \text{ km s}^{-1}$ , respectively). We find that in the first few hundred million years, the CMO grows rapidly, accreting

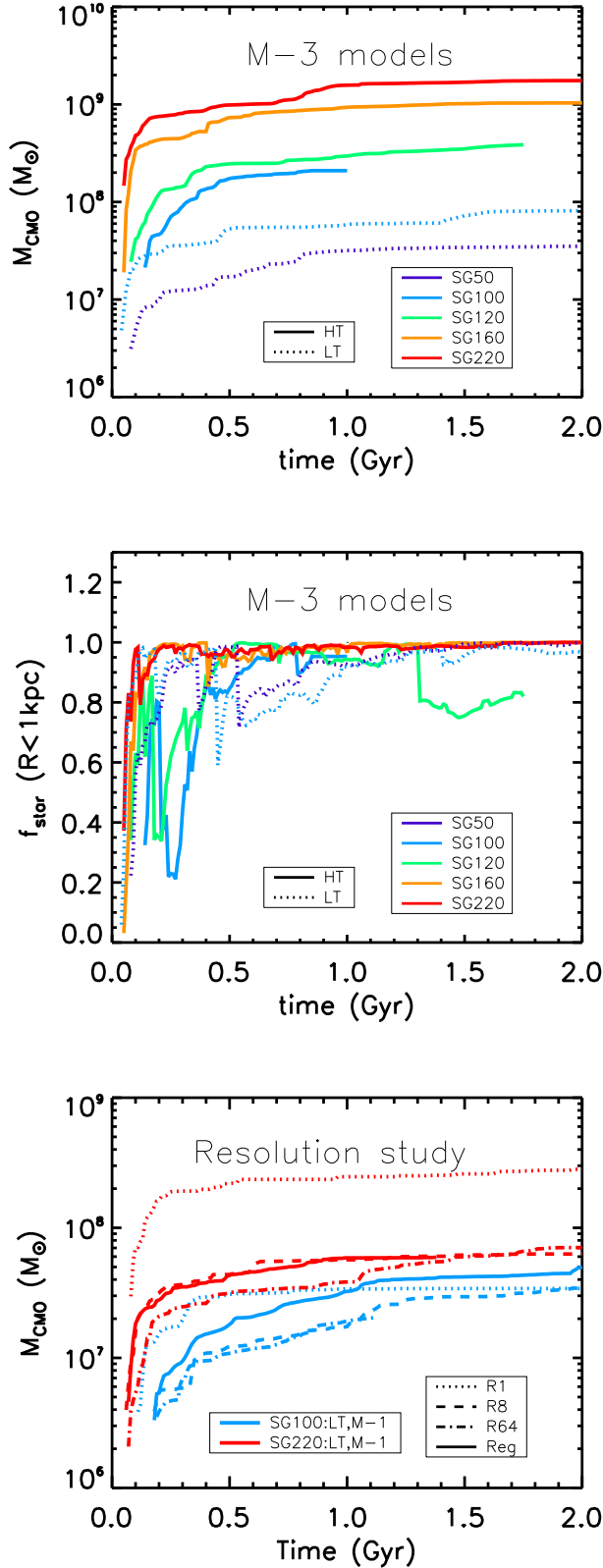


FIG. 1.— Mass growth histories of the CMOs in selected galaxy models (top panel), and the stellar mass fraction in the nuclear region within 1 kpc of the galactic center (middle panel). Also shown are the histories of CMO mass from a resolution study (bottom panel). The designations R1, R8, R64 and Reg indicate total particle numbers of  $10^5$ ,  $8 \times 10^5$ ,  $6.4 \times 10^6$ , and  $10^6$ , respectively.

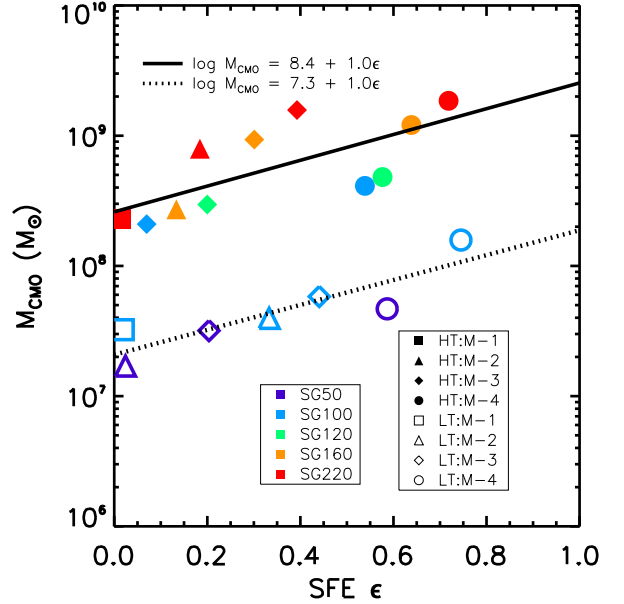


FIG. 2.— Correlation between the mass of the CMO and the global star formation efficiency in the galaxy in different models. Galaxies are shown from both the low  $T$  (open symbols) and high  $T$  (filled symbols) models. The color and the shape of the symbol indicates the rotational velocity and sub-model (gas fraction and disk scale length) for each galaxy described in Table 1. The black lines show a least-square fit to the data points from models of each temperature. The lower Jeans masses in the LT runs result in a higher SFE, and a smaller CMO. As a result, at fixed SFE, the LT runs have an order of magnitude lower  $M_{\text{CMO}}$  (but note that the offset is much smaller at fixed galaxy mass, and hence the gas temperature has a less significant impact on the  $M_{\text{CMO}}-M_{\text{gal}}$  relation).

gas particles gravitationally bound to it, at a rate that is within an order of magnitude of the spherical Bondi accretion rate (Bondi 1952; Bondi & Hoyle 1944; Hoyle & Lyttleton 1941). After that, the growth slows down. In about 1 Gyr, the masses of most CMOs saturate due to the depletion of gas around the nucleus by star-formation, as shown in the middle panel of Figure 1. We note that a study by Sazonov et al. (2005) of radiative feedback from accreting black holes in ellipticals shows that the observed  $M_{\text{BH}}-\sigma$  relationship could be established once most ( $> 99\%$ ) of the gas has been converted into stars. This finding agrees with our results that the growth of the CMO is tied to the star formation in the galaxy.

The final mass of the CMO correlates tightly with the *global* star formation efficiency of the galaxy, with a normalization dependent on the effective sound speed, as shown in Figure 2. This demonstrates a close link between the growth of the CMO and the build-up of the host galaxy through star formation.

### 3.2. The $M_{\text{CMO}}-M_{\text{gal}}$ Correlation

In Figure 3, we show the correlation between the mass of CMO and that of the host for the bulgeless, isolated disk models. The three panels adopt three different definitions of galaxy mass:  $M_{\text{sg}}$  is the combined mass of stars and gas (left panel),  $M_{\text{tot}}$  is the total mass of the galaxy including stars, gas, and dark matter (center panel), and  $M_{\text{gal,disk}}$  is the total mass of the galaxy within  $5R_e$ , where  $R_e$  is the half-light radius, containing half of the gas and stellar mass of the disk compo-

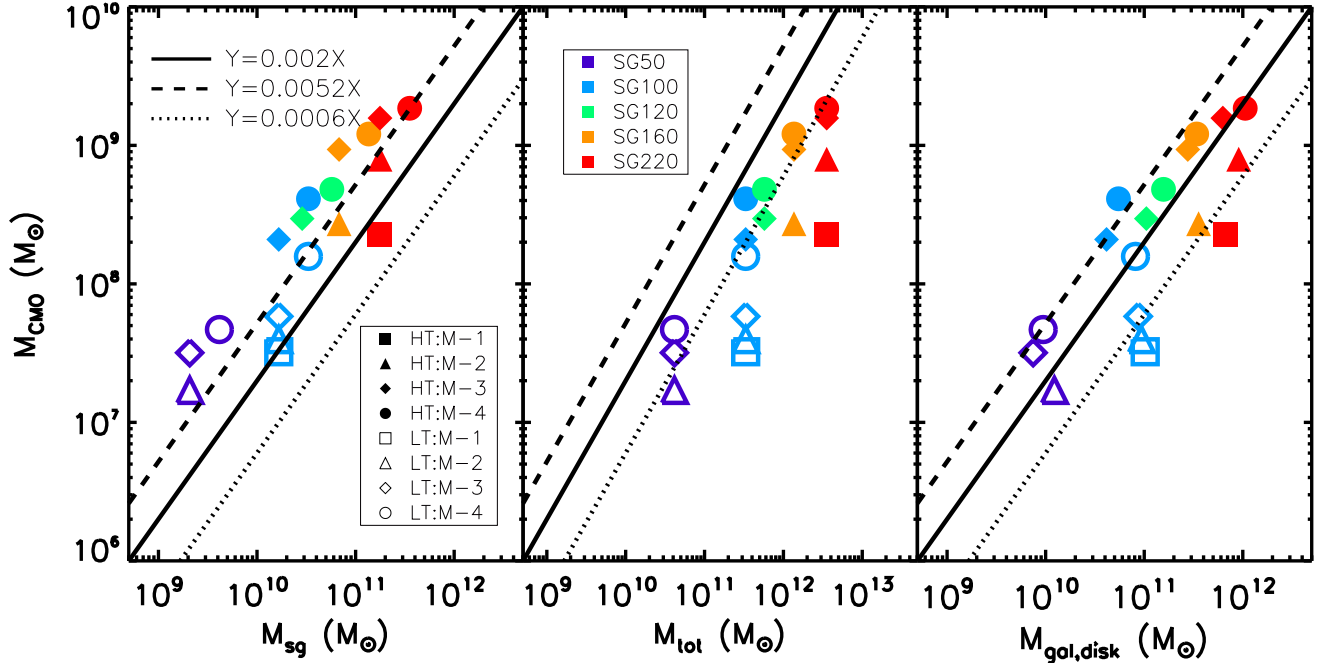


FIG. 3.— The relation between CMO mass and host mass in simulated bulgeless spiral galaxies. The solid line is the best fit to spheroid systems observed by Ferrarese et al. (2006), while the dotted line and the dashed line indicate the observed range. We show three different characterizations of the host mass:  $M_{\text{sg}}$ , the mass of both stars and gas in the galaxy (*left*);  $M_{\text{tot}}$ , the total mass of dark matter, gas and stars in the galaxy, corresponding to  $M_{200}$  in Table 1 (*middle*); and  $M_{\text{gal,disk}}$ , the total mass including both dark matter and baryons of the galaxy within  $5R_e$ , the half-mass radius. The last definition produces a scaling relation close to that observed between SMBHs and stellar spheroids (Ferrarese et al. 2006), suggesting that it may be the closest analog of the mass  $M_{\text{gal}}$  used by Cappellari et al. (2006) to characterize bulgeless spirals.

nent. In all three panels,  $M_{\text{CMO}}$  is measured after 1 Gyr, or in the few cases where the simulations stopped before 1 Gyr, at the last time step.

Figure 3 shows that for any definition of the galaxy mass, the mass of CMO correlates strongly with the mass of its host, despite the lack in our models of any explicit feedback from the CMO. It also appears that the correlation has a similar normalization and linear slope to that found for both star clusters and SMBHs.

It would be interesting to compare these findings directly with the observations, but such a comparison is difficult at present. Walcher et al. (2005) have determined the masses of nuclear star clusters in 9 bulgeless spiral galaxies, and found them to range between  $8 \times 10^5 M_\odot$  and  $6 \times 10^7 M_\odot$ . These appear a factor of several smaller than the masses of the CMOs we find in galaxies with  $M_{\text{tot}} = 10^{11} - 10^{12} M_\odot$ , but the masses of the hosts in their sample are not discussed, and their sample may also suffer from selection biases.

Other comparisons are possible, but have to be interpreted with caution, since it is not clear how to relate the bulgeless disks in these models to the spheroid components that are found to correlate with CMOs in other observations. Nevertheless, we present here two such brief comparisons:

(1) Rossa et al. (2006) study late-type spirals with bulges. They find that the masses of the CMO at fixed bulge luminosity is about 3 times above the mass expected from the  $M_{\text{BH}}$  vs.  $L_{\text{bulge}}$  relation (Marconi & Hunt 2003). In the left panel of Figure 3, we find a similar offset (relative to the  $M_{\text{BH}}-M_{\text{bulge}}$  relation), if the total baryon mass is considered as a proxy for the bulge mass. One possible rationale for this comparison is that most of the baryon content of our simulated bulgeless

disk galaxy may represent the stars making up the bulge of any galaxy that subsequently forms out of this bulgeless spiral.

(2) Ferrarese et al. (2006) and Wehner & Harris (2006) study early-type galaxies, and find a correlation between the masses of the CMOs and the host galaxies. In particular, Ferrarese et al. define  $M_{\text{gal}}$ , following the formula given by Cappellari et al. (2006), as  $M_{\text{gal}} = \alpha R_e \sigma^2 / G$ , where  $G$  is the gravitational constant,  $\sigma$  is the observed velocity dispersion, and  $\alpha = 5$  is a constant. This typically represents  $\sim 0.25$  of the total galaxy mass (see below). Our definition of  $M_{\text{gal,disk}}$  is designed to mimic this quantity, and, as the right panel of Figure 3 shows, we find, within errors, that our bulgeless disk galaxies obey the same correlation.

Both of the above comparisons suggest that the  $M_{\text{CMO}}-M_{\text{gal}}$  relation established in our simulated bulgeless disk galaxies may evolve into the observed correlation between  $M_{\text{CMO}}$  and their spheroid host, once the bulgeless disk galaxy (or a fraction of its mass) evolves into a spheroid. Establishing a direct connection, however, is not possible without modeling in detail the further evolution of both the host galaxy and the CMO (the latter, of course, may also gain mass). We next turn to CMOs that form in our simulations of merging galaxies.

### 3.3. A Physical Explanation of the $M_{\text{CMO}}-M_{\text{gal}}$ Correlation

The physics responsible for the mass correlation, in general, must be tied to the formation of a seed CMO, and its subsequent growth by accretion. To investigate this issue further, we define the initial collapsed seed CMO mass as  $M_0$ , and the accreted mass as  $M_{\text{acc}}$ . The final CMO mass is the sum of these two,  $M_{\text{CMO}} = M_0 + M_{\text{acc}}$ . Our sink particles form at



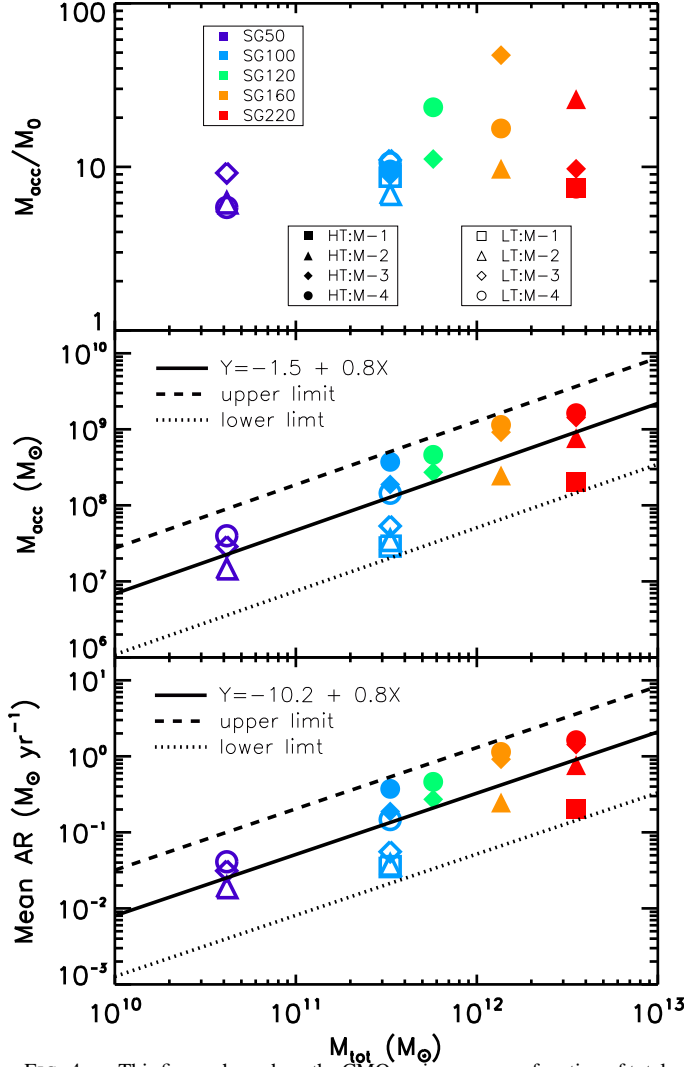


FIG. 4.— This figure shows how the CMOs gain mass, as a function of total galaxy mass  $M_{\text{tot}}$ . The *top panel* shows the ratio of the accreted mass  $M_{\text{acc}}$  to the initial collapsed seed mass  $M_0$ , while *middle* and *bottom* panels show  $M_{\text{acc}}$  and mean accretion rate (AR) of the CMO, respectively, as functions of  $M_{\text{tot}}$  of the galaxy. The mean AR is calculated simply as  $M_{\text{acc}}/\Delta t$ , where  $\Delta t = 1$  Gy (or the maximum simulation time step) is the time when  $M_{\text{CMO}}$  is measured, as explained in the previous section. The symbols are the same as in the previous plots, the solid line is the least-square fit to the data, while the dashed and dotted lines indicate the range of the simulated models.

a fixed assumed critical density, and since all galaxies of the same category (LT or HT) have the same sound speed ( $c_s = 6$  or  $15 \text{ km s}^{-1}$ , as listed in Table 1), and since  $M_0$  is essentially the local Jeans mass, we find that CMOs in galaxies with the same sound speed have a similar  $M_0$ . More realistically, had we not fixed the density at which the sink particle is created, we might expect a relatively weak dependence of Jeans mass on density,  $M_0 \propto \rho^{-1/2}$ . However, we find that in any case, subsequent accretion plays a much more important role in determining the final mass of the CMO. As shown in Figure 4 (*top panel*), the accreted mass  $M_{\text{acc}}$  is much larger than  $M_0$ , and therefore dominates the final  $M_{\text{CMO}}$ . Moreover, Figure 4 shows that  $M_{\text{acc}}$  and the average accretion rate of the CMO increase monotonically with the total mass of the galaxy  $M_{\text{tot}}$  (*middle* and *bottom* panels). Because the CMOs accrete at

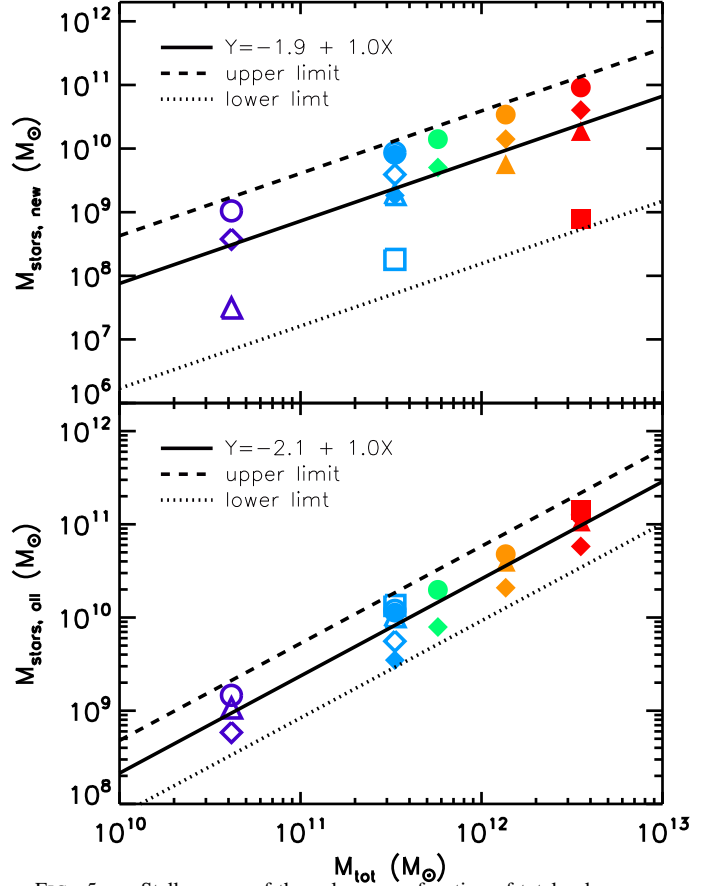


FIG. 5.— Stellar mass of the galaxy as a function of total galaxy mass  $M_{\text{tot}}$ . The *top panel* shows the mass of newly formed stars (30% of the cluster-oriented sink particles formed in the simulation), while the *middle panel* shows all the mass of all stars, including both newly formed ones and the old disk stars pre-installed initially.

nearly the Bondi rate (Bondi 1952), a more massive or gas-rich galaxy has higher gas density, which enables higher accretion rate, leading to higher  $M_{\text{CMO}}$ . Indeed, at fixed CMO mass and sound speed,  $\dot{M}_{\text{Bondi}} \propto \rho$ , and for a rotating disk in hydrostatic equilibrium, the characteristic density scales as  $\rho \propto M_{\text{tot}}^{2/3}$  (Wood & Loeb 2000, assuming that the disk mass and scale radius are fixed fractions of the total galaxy mass  $M_{\text{tot}}$  and size  $R \propto M_{\text{tot}}^{1/3}$ ). Hence, we expect  $\dot{M} \propto M_{\text{tot}}^{2/3}$ , close to the power-law index of  $\sim 0.8$  seen in the bottom panel in Figure 4. The stellar mass of the galaxy increases similarly with  $M_{\text{tot}}$ , as shown in Figure 5. These two facts, coupled with our finding that the gas-depletion time-scale, which halts both star-formation and the growth of the CMO, depends only very weakly on  $M_{\text{gal}}$  (see Fig. 1) naturally explain the tight mass correlation  $M_{\text{CMO}}-M_{\text{gal}}$  as seen in Figure 3. Note both  $M_{\text{CMO}}$  and  $M_{\text{stars}}$  increase (roughly linearly) with the gas fraction as well, which, given a range of input gas fractions, explains the scatter we find in the mass correlation.

#### 4. CENTRAL MASSIVE OBJECTS IN GALAXY MERGERS

Early simulations have demonstrated that major mergers of spiral galaxies generally trigger starbursts and produce elliptical galaxies (e.g., Toomre & Toomre 1972; Hernquist 1989; Barnes & Hernquist 1992; Hernquist 1992;

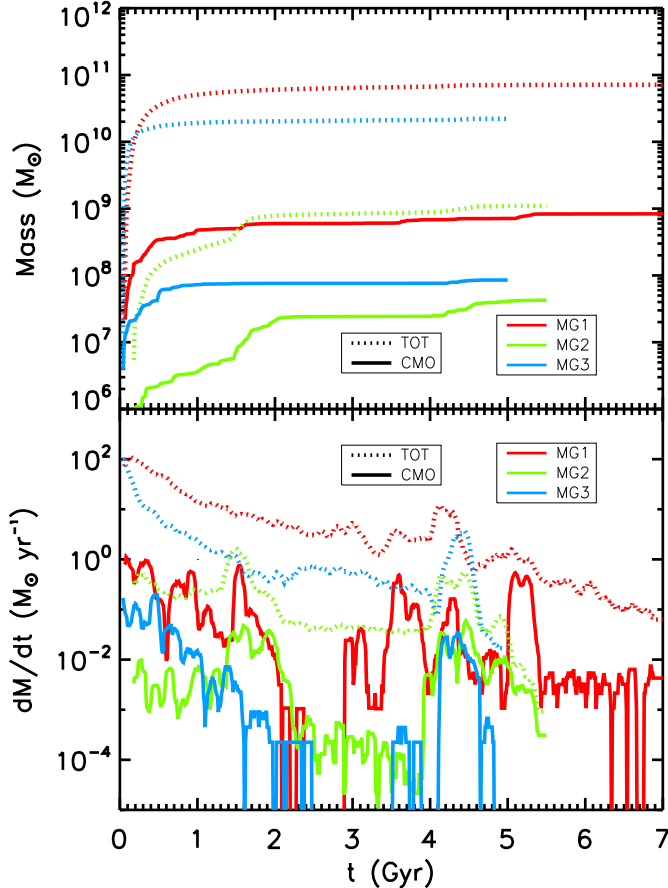


FIG. 6.— History of CMO mass (solid line) and of total mass collapsed in all sink particles (dotted line), a quantity related to the total amount of star formation in the galaxy by the local star formation efficiency.

Mihos & Hernquist 1996; Dubinski, Mihos, & Hernquist 1999; Springel 2000; Barnes 2002; Naab & Burkert 2003; Li et al. 2004). Recent simulations of galaxy mergers that include SMBHs have been carried out to investigate the SMBH–spheroid relations. Remarkably, Di Matteo et al. (2005) show that thermal feedback from SMBH suppresses both the the black hole accretion and the star formation, giving the  $M_{\text{BH}}-\sigma$  correlation in ellipticals, while Kazantzidis et al. (2005) demonstrate that star formation is necessary in maintaining the  $M_{\text{BH}}-\sigma$  correlation, a conclusion that is also supported by Nipoti et al. (2003) with dissipationless mergers. These studies suggest that the SMBH–spheroid correlations are closely connected to star formation, and that it may play a dominant role in determining the masses of SMBH and spheroids.

In previous simulations, SMBHs are initialized in the progenitors following the  $M_{\text{BH}}-\sigma$  correlation. In our merger simulations, CMOs form dynamically as the galaxies evolve, in the same manner as in our models of isolated spirals. The growth histories of the CMOs in our merger models are shown in Figure 6. Because only the most massive CMO is considered in the merger remnants, the CMO in galaxy mergers is identified as the most massive one. Similar to the isolated disk galaxies, the mass curves of the CMO increase rapidly in the initial phase. However, the detailed accretion history is modulated by the interaction between the progenitors. Close

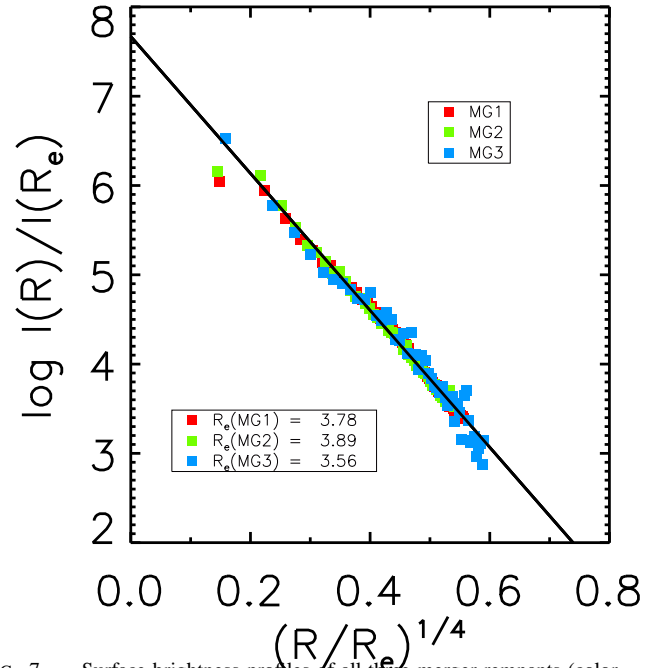


FIG. 7.— Surface brightness profiles of all three merger remnants (color squares), at times of 7 Gyr, 5.5 Gyr and 5 Gyr for models MG1, MG2 and MG3, respectively. All three models fit very well to a Sersic (1968) profile with  $m = 4$  (black line), as explained in the text. The legend gives the effective radius  $R_e$  of each model.

encounters trigger episodes of very high accretion rate, both on local and global scale, as shown in the bottom panel of Figure 6. The mass curves saturate after the completion of the mergers.

In order to investigate the  $M_{\text{CMO}}-\sigma$  correlation between the CMOs and the merger remnant velocity dispersions, we made simulated observations, following Gebhardt et al. (2000). We measured the surface brightness-weighted, line-of-sight stellar velocity dispersion  $\sigma$  of the spheroid within an aperture of size  $R_e/8$ , where  $R_e$  is the effective radius. As mentioned in Boylan-Kolchin, Ma, & Quataert (2005) and Robertson et al. (2006a), a standard technique for obtaining  $R_e$  is to fit the projected surface brightness profile,  $I(R) = L(R)/(4\pi R^2)$ , to a Sersic profile (Sersic 1968) and derive the half-light radius:

$$I(R) = I(R_e) \exp\{-b(m)[(R/R_e)^{1/m} - 1]\}. \quad (1)$$

where  $b(m) \approx 2m - 1/3 + 4/(405m)$ , as given by Ciotti & Bertin (1999).

Bulges and early-type galaxies have surface brightness profiles  $I(R)$  that are usually well-approximated by the de Vaucouleurs law (de Vaucouleurs 1948)

$$\log I(R)/I(R_e) \propto -(R/R_e)^{1/m} \quad (2)$$

with  $m = 4$ . Figure 7 shows the surface brightness profiles  $I(R)$  of all three of our merger remnants, assuming a fixed mass-to-light ratio of three. These profiles appear to follow the  $m = 4$  de Vaucouleurs law (de Vaucouleurs 1948) closely, as also found by Hernquist (1992) who studied mergers of bulgeless spiral progenitors. We note that Graham (2006) reported a correlation between the masses of CMOs and the Sersic index of the host spheroids in the sample compiled by

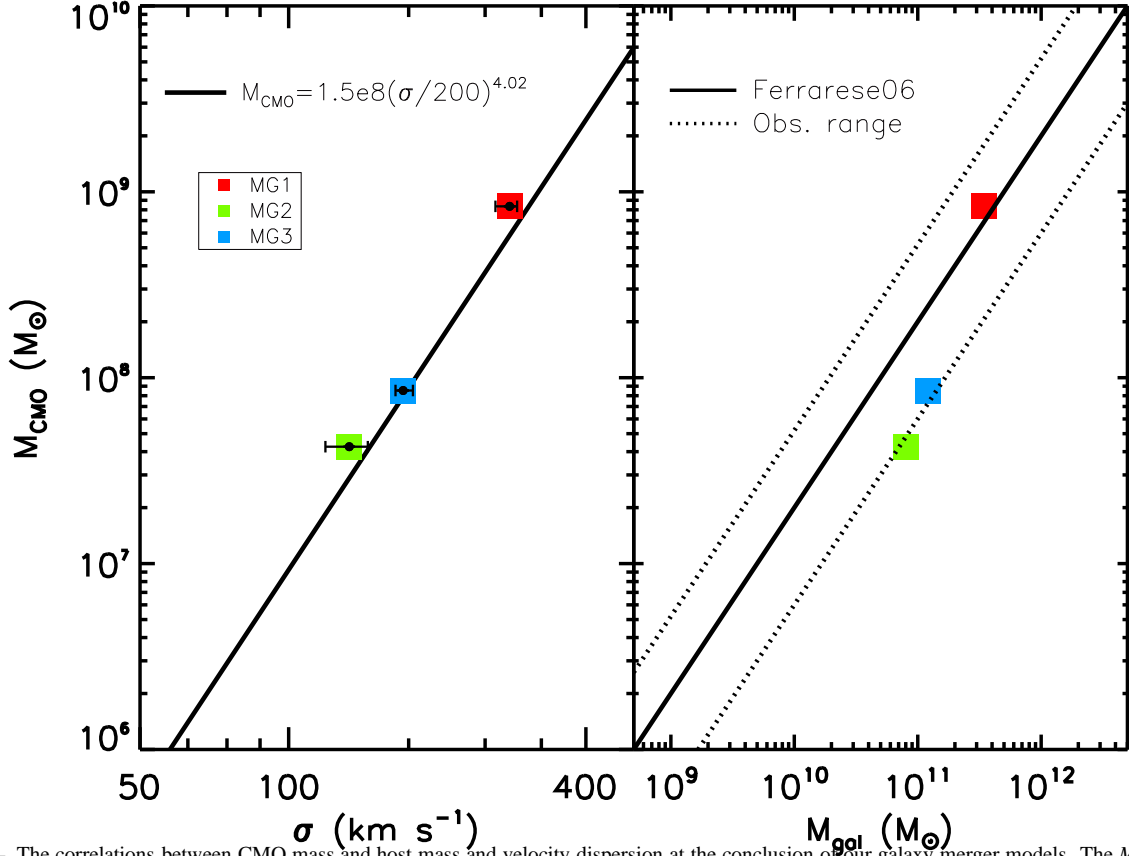


FIG. 8.— The correlations between CMO mass and host mass and velocity dispersion at the conclusion of our galaxy merger models. The  $M_{\text{CMO}}$  is the final mass of the CMO after completion of mergers, the  $\sigma$  is taken at the mean values, while the error bar indicates the range over  $10^4$  viewing angles. The calculation of  $M_{\text{gal}}$  is described in the text. The black curve in the left panel indicates the best fitting to observations by Tremaine et al. (2002), while those in the right panel are from observations by Ferrarese et al. (2006).

Ferrarese et al. (2006). More merger simulations with different initial conditions, mass ratios and orbital parameters are necessary to explore this relation.

The effective radius  $R_e$  is then derived from the half-light radius of each spheroid, as given in the legend of Figure 7. The mass-weighted line-of-sight stellar velocity dispersion  $\sigma$  within  $R_e/8$  is then calculated for each remnant galaxy for  $10^4$  random viewing angles. Figure 8 shows the resulting correlation of  $M_{\text{CMO}}-\sigma$  for the merger remnants. It appears to agree very well with the observational correlation,  $M_{\text{BH}} = 1.5 \times 10^8 (\sigma/200 \text{ km s}^{-1})^{4.02} M_{\odot}$  (Ferrarese & Merritt 2000; Tremaine et al. 2002).

Figure 8 also shows the correlation  $M_{\text{CMO}}-M_{\text{gal}}$  between the mass of CMO and  $M_{\text{gal}}$  of the host for the galaxy mergers. Here  $M_{\text{gal}}$  is calculated using the virial mass, following Cappellari et al. (2006),  $M_{\text{gal}} = \alpha R_e \sigma^2 / G$ , where  $G$  is the gravitational constant and  $\alpha = 5$ . This is the best-fitting virial relation based on the observables  $R_e$  and  $\sigma$ . The simulation agrees very well with the observed correlation  $M_{\text{CMO}} \approx 0.002 M_{\text{gal}}$  (Ferrarese et al. 2006), within the  $1\sigma$  observational uncertainty, as indicated by the dotted lines in this figure.

##### 5. DISCUSSION AND SUMMARY

Our simulations of gravitational collapse of gas in galaxies enable us to model star formation in galaxies and follow the growth and evolution of CMOs. Our approach differs from previous studies such as Kazantzidis et al. (2005) and

Di Matteo et al. (2005) in the following ways.

1. Our resolution is sufficient to fully resolve gravitational collapse.
2. Absorbing sink particles are used to directly follow and measure the mass of gravitationally collapsing gas.
3. In our model, the black holes, or CMOs, are not set up *a priori* according to the  $M_{\text{BH}}-\sigma$  correlation as in previous approaches. Instead they form dynamically from gravitational collapse of gas, the same as star clusters, with both being represented by sink particles. In these simulations, the CMO is just the most massive sink particle, which always is found in the galaxy center at the end of our simulations.
4. Our model does not explicitly include feedback from either star formation or black hole formation. However, feedback from star formation is implicitly represented by our isothermal equation of state with relatively high effective sound speed of 6–15 km s $^{-1}$ .

We emphasize that our models do not include explicit feedback, magnetic fields, or gas recycling. However, we believe each will have minor effects on the final mass of the CMO. The assumption of an isothermal equation of state for the gas



implies substantial feedback to maintain the effective temperature of the gas against radiative cooling and turbulent dissipation. Real interstellar gas has a wide range of temperatures but the rms velocity dispersion generally falls within the range  $6\text{--}12\text{ km s}^{-1}$  (e.g., Elmegreen & Scalo 2004). However, our models with different effective sound speeds satisfy similar correlations between  $M_{\text{CMO}}$  and host galaxy mass (though not the same relation between CMO mass and star formation efficiency). Furthermore, as discussed in § 2.2, the mass loss due to galactic winds is only a small fraction of the initial gas mass, suggesting that the effect of feedback on the mass of the CMO may be minor.

The simple model of sink particles, which grow with approximately spherical Bondi accretion, reproduces the observed  $M_{\text{CMO}}\text{--}\sigma$  and  $M_{\text{CMO}}\text{--}M_{\text{gal}}$  correlations reasonably. Both the accreted mass of CMO and the mass turned into stars scale with the total mass of a galaxy, offering a plausible explanation for the CMO–host relation. We should note that Escala (2006) also reproduces the  $M_{\text{BH}}\text{--}\sigma$  correlation by modeling accretion of BHs from a Shakura–Sunyaev thin disk (Shakura & Sunyaev 1973). This suggests that the accretion rates through these two different mechanisms may be similar, and that the final mass of the black hole or the CMO may be largely determined by the depletion of accretable gas. The fact that various previous approaches, including feedback models (e.g. Di Matteo et al. 2005; Sazonov et al. 2005), star formation models (e.g., Kazantzidis et al. 2005), and accretion models (e.g., Escala 2006), have more or less succeeded in reproducing the SMBH–host correlation suggests that gas depletion in the central region is crucial to this correlation. Our results support the idea that star formation plays the major role in gas consumption and determination of the final masses of the CMO and the stellar component.

The close correlation that we find between the CMO mass and global star formation efficiency in the host galaxy sug-

gests that the CMO–host link may be universal, in that it is the result of the co-eval growth and evolution of the CMO and the host galaxy, and therefore it does not strongly depend on the morphology, type, or mass of the galaxy. A systematic search for CMOs in the nuclei of bulgeless disk galaxies would offer a test of this conclusion.

In summary, our simple model of accretion and star formation reproduces quantitatively the observed  $M_{\text{BH}}\text{--}\sigma$  correlation for galaxy mergers, and suggests a universal  $M_{\text{CMO}}\text{--}M_{\text{gal}}$  relation over a wide range of galaxy mass and different morphological types. We find that the CMO builds up its mass through accretion, and that there is a direct correlation between the global star formation efficiency of a galaxy and its CMO mass. Our results suggest that star formation may play an important role in producing the fundamental mass correlation between the central massive objects and their host galaxies.

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